

Instanton effects in string/M-theory from 3d superconformal field theories

Masazumi Honda

Harish-Chandra Research Institute



References: [M.H.-Okuyama, 1405.3653] [M.H.-Moriyama, 1404.0676]
[Hatsuda-M.H.-Moriyama-Okuyama, 1306.4297]

+ recent papers by

Calvo, Codesido, Grassi, Hatsuda, Kallen, Marino, Matsumoto, Moriyama,
Nosaka, Okuyama, Putrov, Yamazaki and Zakany

Non-perturbative effects in string/M-theory

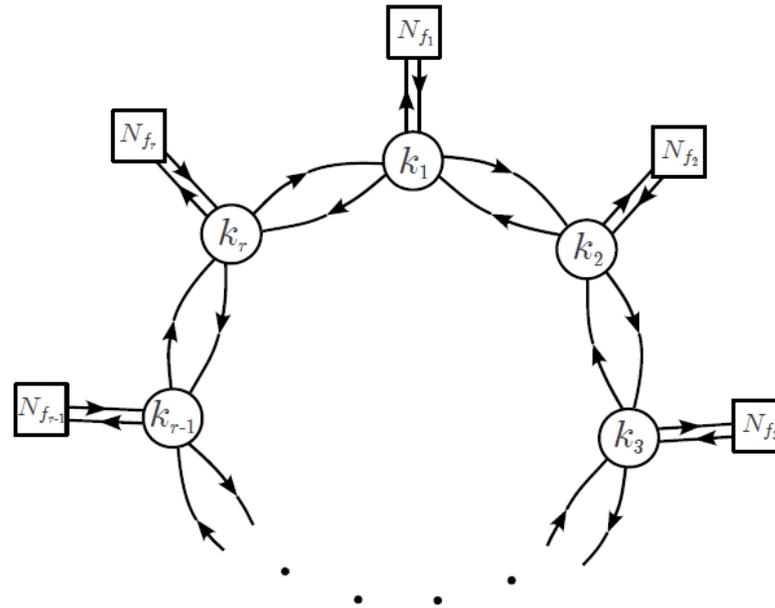
⇒ Worldsheet, D-brane and membrane instantons

Non-perturbative effects in string/M-theory

⇒ Worldsheet, D-brane and membrane instantons

In this talk, I will report

low-energy effective theories of **M2-branes** provide good laboratory to probe these effects via AdS/CFT.



M2-branes w/ fractional M2-branes in certain space



3d necklace **quiver Chern-Simons** matter theory

(**N** M2-branes) + (**M** fractional M2-branes) on $\mathbf{R}^8/\mathbf{Z}_k$
(=M5-branes wrapped on $S^3/\mathbf{Z}_k \subset \mathbf{R}^8/\mathbf{Z}_k$)

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Effective theory = ABJ(M) theory:

$$3d \mathcal{N} = 6 \text{ U}(\mathbf{N})_k \times \text{U}(\mathbf{N}+\mathbf{M})_{-k} \quad (k: \text{CS level})$$

superconformal Chern-Simons theory

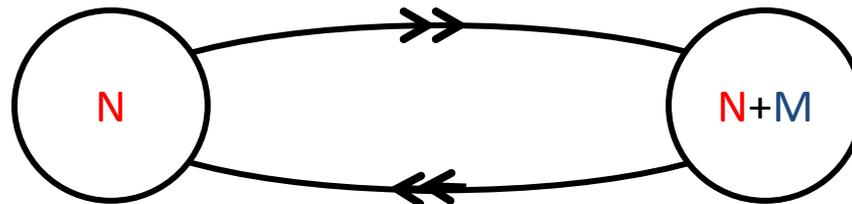
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3d $\mathcal{N} = 6$ $U(\mathbf{N})_k \times U(\mathbf{N}+\mathbf{M})_{-k}$ (k: CS level)

superconformal Chern-Simons theory

- \supset {
- Vector multiplet (in 3d $\mathcal{N} = 2$ language)
 - 2 bi-fundamental chiral multiplets
 - 2 anti-bi-fundamental chiral multiplets



CFT₃

/

AdS₄

$U(N)_k \times U(N+M)_{-k}$

ABJ theory

CFT₃

/

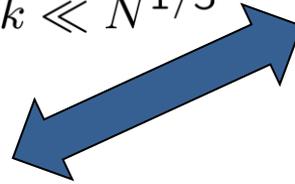
AdS₄

M-theory

on AdS₄ × S⁷/Z_k

with $\frac{1}{2\pi} \int_{S^3/Z_k} C_3 = \frac{1}{2} - \frac{M}{k}$

$k \ll N^{1/5}$



$U(N)_k \times U(N+M)_{-k}$

ABJ theory

CFT₃

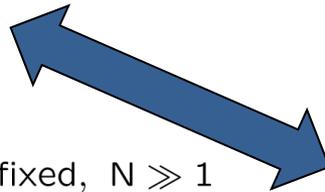
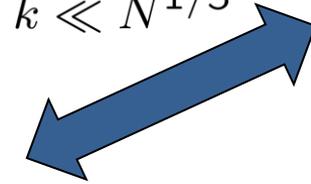
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AdS₄

$U(N)_k \times U(N+M)_{-k}$
ABJ theory

$$\lambda = \frac{N}{k} = \text{fixed}, N \gg 1$$

$$k \ll N^{1/5}$$



M-theory

on $AdS_4 \times S^7/Z_k$

$$\text{with } \frac{1}{2\pi} \int_{S^3/Z_k} C_3 = \frac{1}{2} - \frac{M}{k}$$

Type IIA superstring

on $AdS_4 \times CP^3$

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Another limit I don't consider here: $\frac{M}{k} = \text{fixed}, M \gg 1$

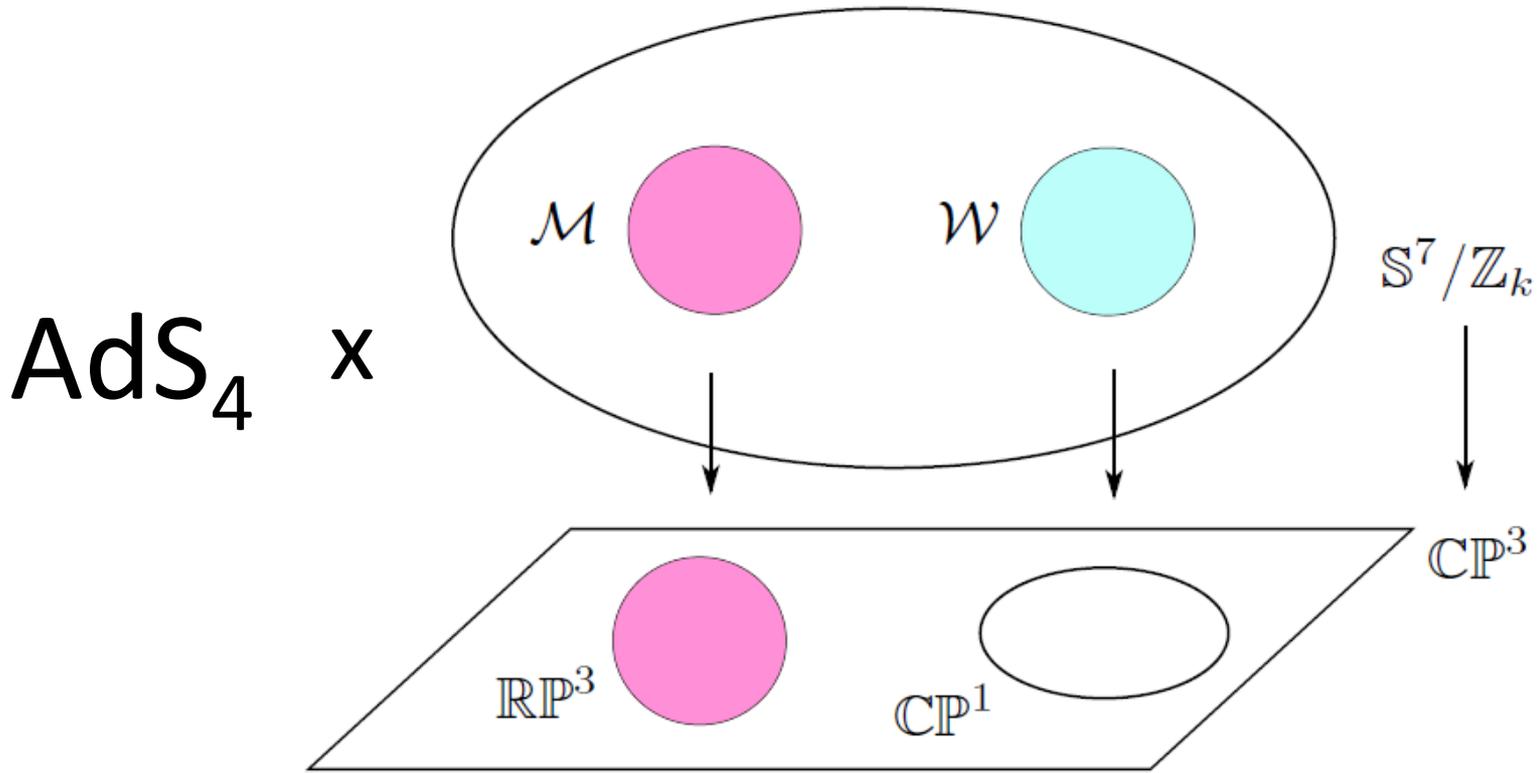
$\longleftrightarrow \mathcal{N} = 6$ Vasiliev theory on AdS_4

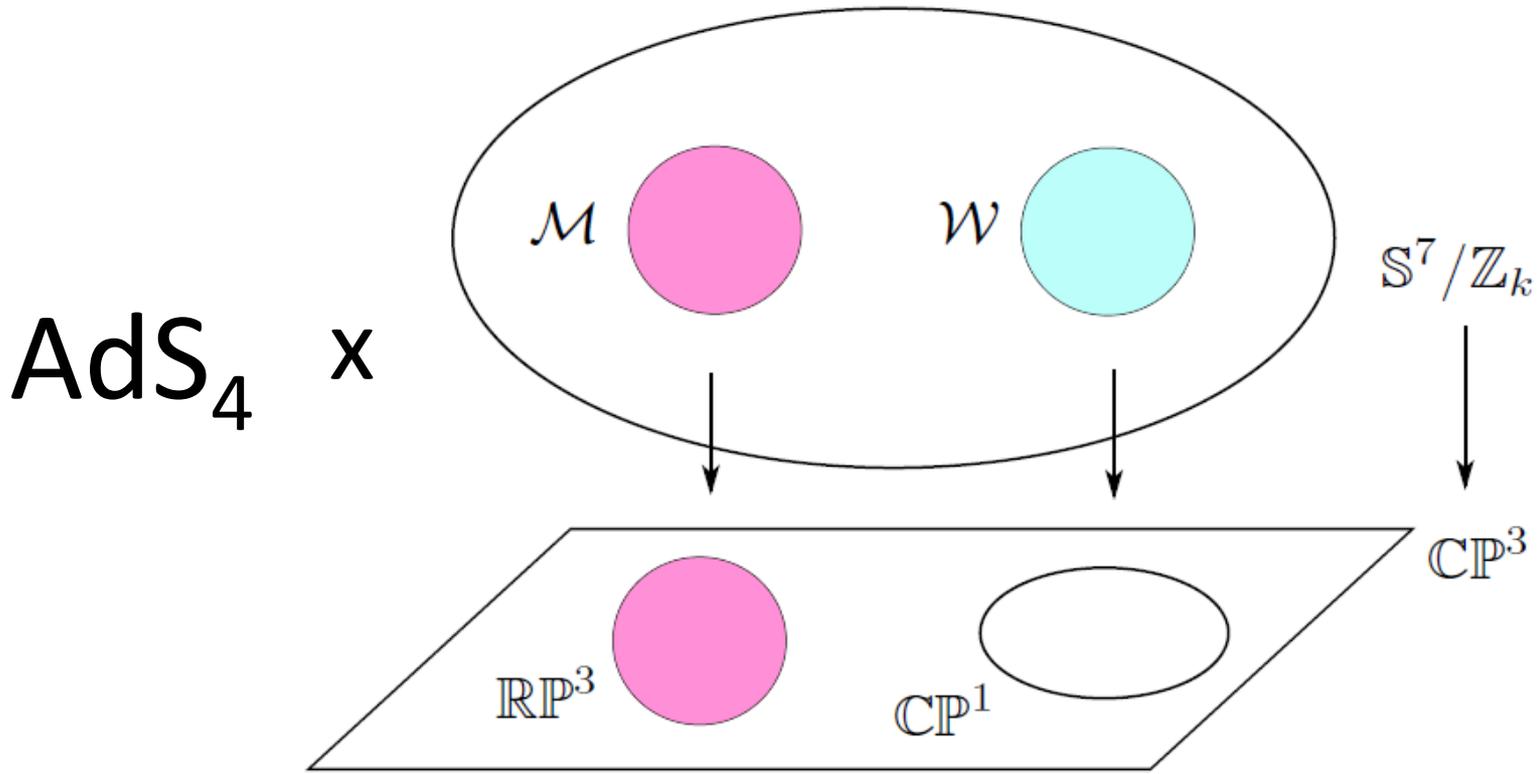
[Giombi-Minwalla-Prakash-Trivedi-Wadia-Yin '11, Chang-Minwalla-Sharma-Yin '12]

[Hirano-M.H.-Okuyama-Shigemori, to appear]

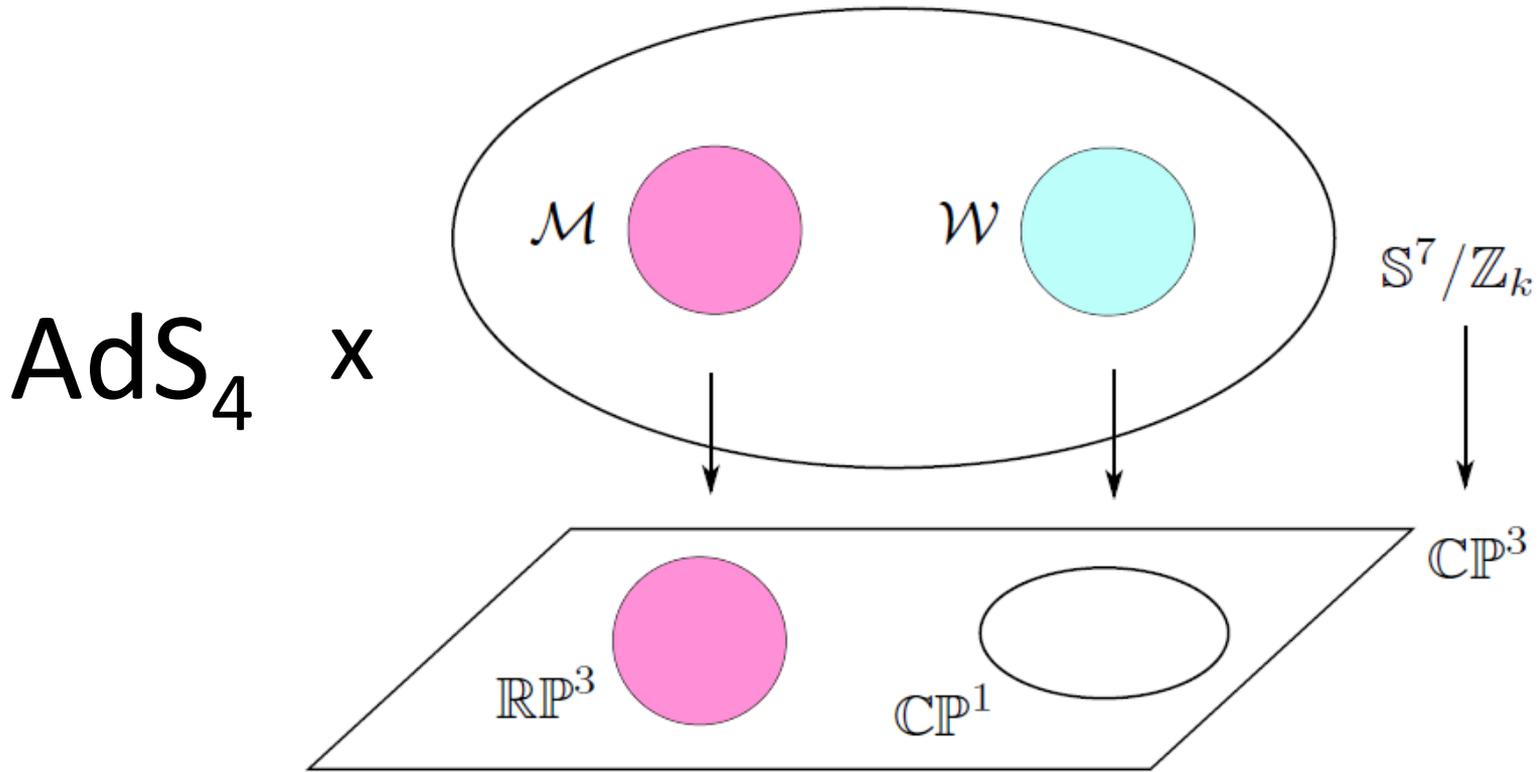
[The figure is borrowed from Hatsuda-Marino-Moriyama-Okuyama]

[cf. Cagnazzo-Sorokin-Wulff '09, Drukker-Marino-Putrov '11]





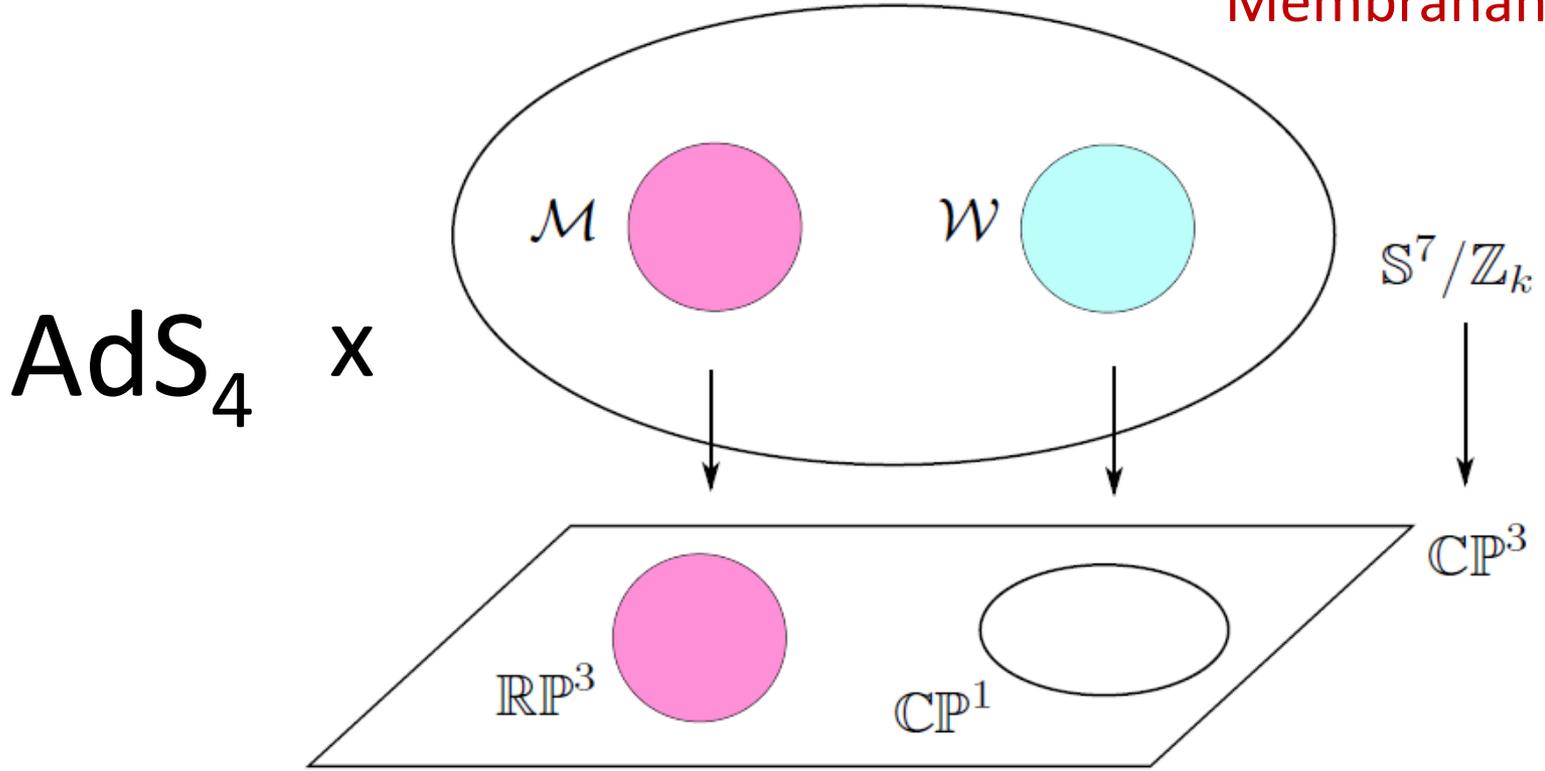
D2-brane instanton:



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Worksheet instanton:

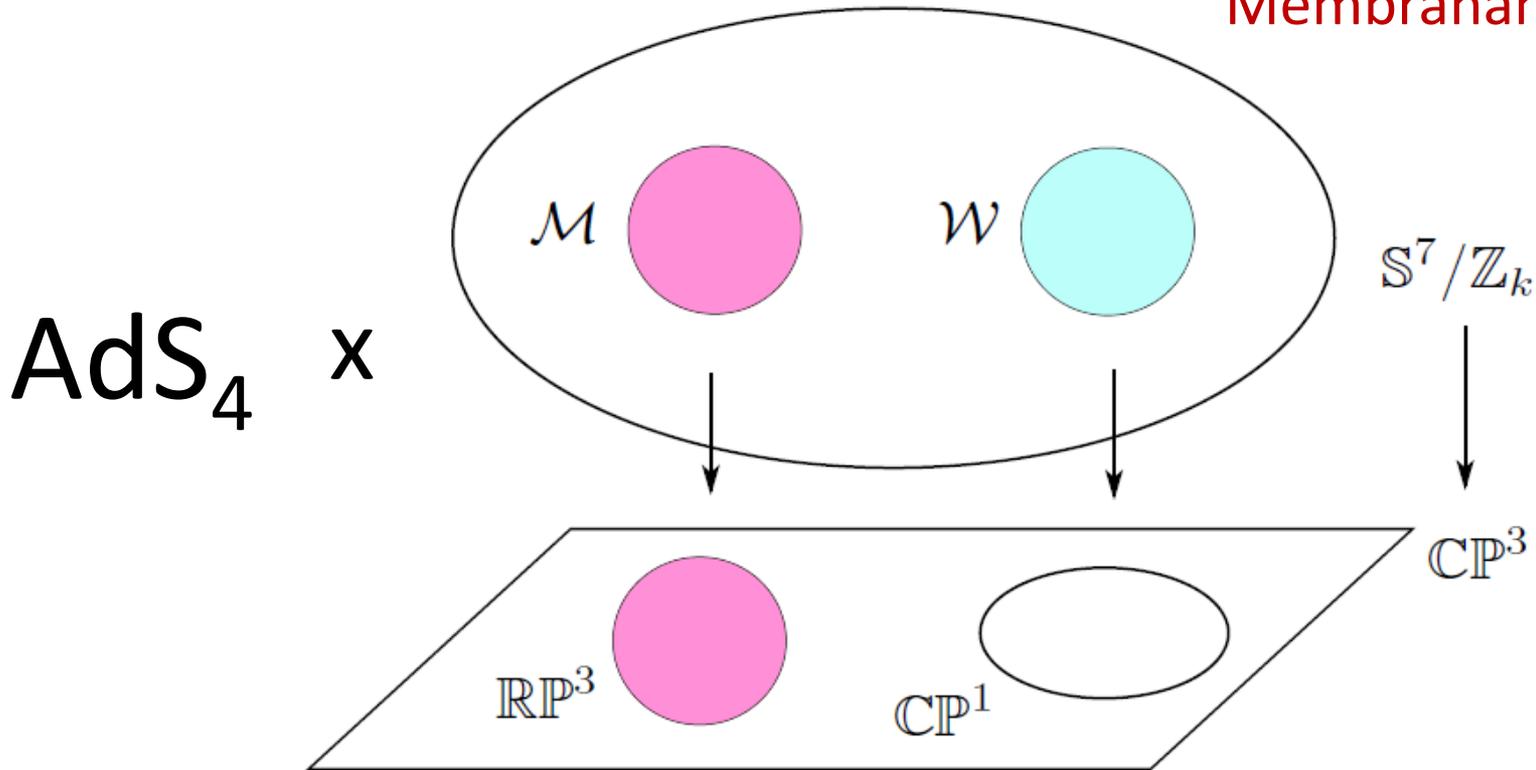
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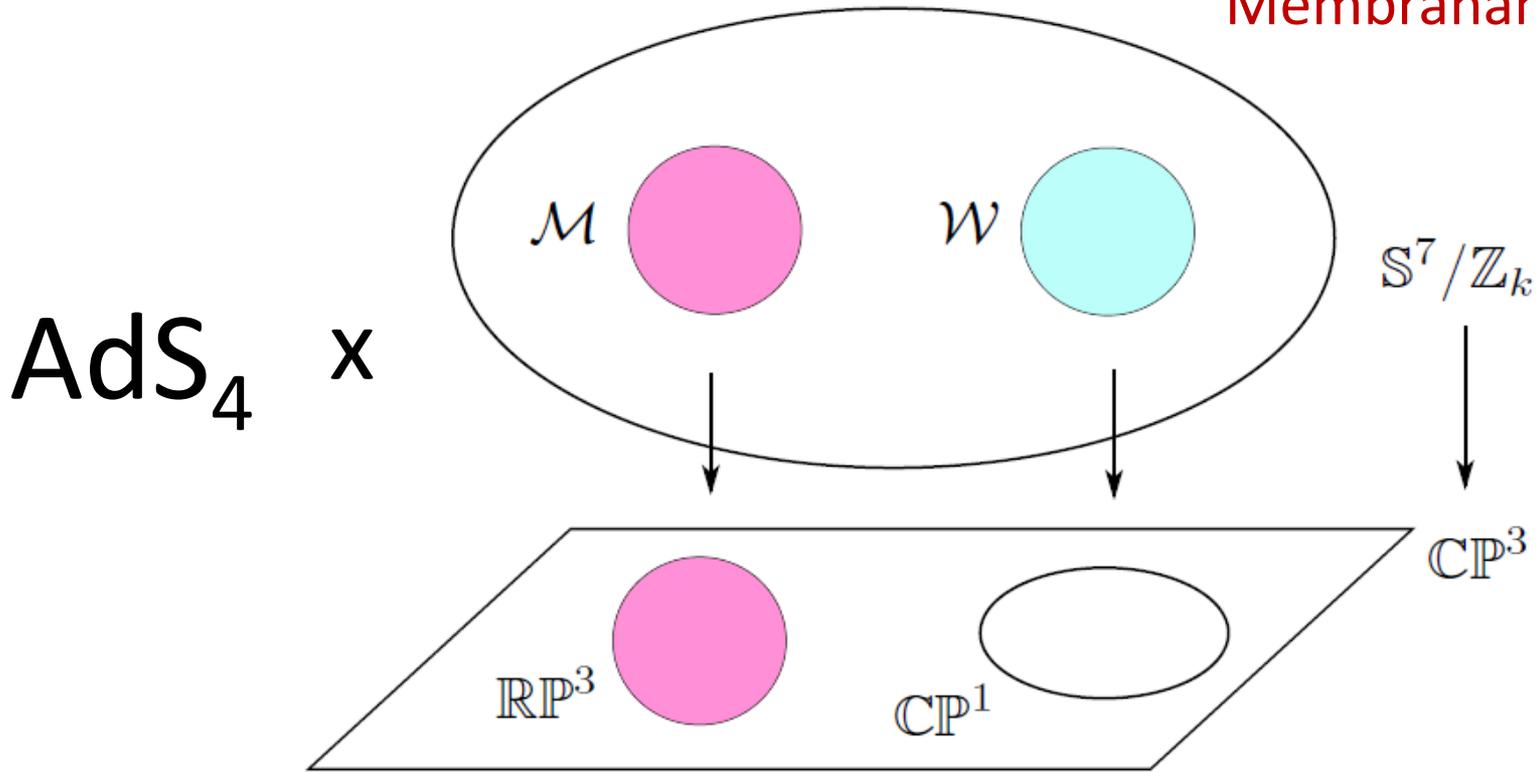
D2-brane instanton:

$$\exp \left[-T_{D2} \text{Vol}(RP^3) \right] = \exp \left[-\pi \sqrt{\frac{2N^2}{\lambda}} \right]$$

Worldsheet instanton:

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Membrane instantons



D2-brane instanton:

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non-perturbative in the sense of
genus expansion!!

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- **Exact** computation of the ABJ partition function for various (k, M, N)
[Hatsuda-Moriyama-Okuyama, Putrov-Yamazaki, M.H.-Okuyama]
Ex.) For $(k, M) = (2, 1)$ up to $N = 65$, etc...

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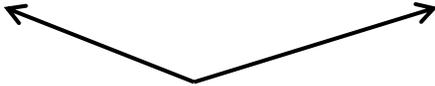
Some generalizations:

- BPS Wilson loop [Grassi-Kallen-Marino, Hatsuda-M.H.-Moriyama-Okuyama]
- Less SUSY theories [M.H.-Moriyama, Grassi-Marino, Hatsuda-Okuyama, Moriyama-Nosaka]

Main result

$$e^{J(\mu)} \sim \sum_N Z_{\text{ABJ}}(k, M; N) e^{\mu N},$$

$$J(\mu) = J_{\text{perturbative}}(\mu) + J_{\text{WS-inst}}(\mu) + J_{\text{D2-inst}}(\mu) + J_{\text{mixed}}(\mu)$$



 standard topological string



 refined topological string
 (in Nekrasov-Shatashvili limit)

$$Z_{\text{D2},\ell\text{-inst};\text{WS},m\text{-inst}} = g_{\ell,m} \left(k, M; \frac{\partial}{\partial N} \right) \text{Ai} \left[C^{-\frac{1}{3}}(k) \left(N - B(k, M) + 2\ell + \frac{4m}{k} \right) \right]$$

$$\left(\frac{Z_{\text{D2},\ell\text{-inst};\text{WS},m\text{-inst}}}{Z_{\text{perturbative}}} \sim e^{-\pi\ell\sqrt{2kN} - 2\pi m\sqrt{\frac{2N}{k}}} \right)$$

Instanton effects from ABJ(M) partition function

$$Z_{\text{ABJ}(M)} = \int [D\Phi] e^{-S_{\text{ABJ}(M)}[\Phi]}$$

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analysis is basically limited to perturbative expansion of $\lambda=N/k$.
(inconvenient to study the instantons)

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SUSY Localization

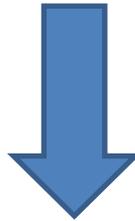
[Kapustin-Willett-Yaakov, Jafferis, Hama-Hosomichi-Lee]

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SUSY Localization

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$$Z_{\text{ABJ}(M)} = (\text{Finite dimensional integral})$$

Standard **matrix model technique** is available to study **genus expansion**,
which is **convenient** to study **worldsheet instanton** $\mathcal{O}(e^{-2\pi\sqrt{2\lambda}})$,
but not D2-instanton $\mathcal{O}(e^{-\pi\sqrt{2N^2/\lambda}})$,

ABJ(M) theory as a Fermi gas

[Marino-Putrov, Okuyama, Awata-Hirano-Shigemori, M.H.]

Localization + some explicit calculations lead us to

$$\left\{ \begin{array}{l} \hat{Z}^{(N, N+M)}(k) = \frac{1}{N!} \sum_{\sigma \in S_N} (-1)^\sigma \int_{-\infty}^{\infty} \frac{d^N y}{(4\pi k)^N} \prod_{a=1}^N \rho(y_a, y_{\sigma(a)}), \\ \rho(x, y) = \frac{\sqrt{V(x)V(y)}}{\cosh \frac{x-y}{2k}}. \quad V(x) = \frac{1}{e^{\frac{x}{2}} + (-1)^M e^{-\frac{x}{2}}} \prod_{s=-\frac{M-1}{2}}^{\frac{M-1}{2}} \tanh \frac{x + 2\pi i s}{2|k|}. \end{array} \right.$$

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Switch to **grand canonical** formalism

$$\Xi_k^{(M)}(\mu) = \sum_{N=0}^{\infty} e^{\mu N} \hat{Z}^{(N, N+M)}(k) = \text{Det} [1 + e^\mu \rho]$$

ABJ(M) Fermi gas as QM

Quantum mechanical description:

$$\rho(x, y) = \langle x | e^{-\hat{H}(\hat{q}, \hat{p})} | y \rangle, \quad e^{-\hat{H}(\hat{q}, \hat{p})} = \sqrt{V(\hat{q})} \frac{1}{2 \cosh \frac{\hat{p}}{2}} \sqrt{V(\hat{q})}, \quad [\hat{q}, \hat{p}] = 2\pi i k,$$

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CS level k can be regarded as **Planck** constant:

$$\hbar = 2\pi k$$

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Semi-classical expansion



Expansion in M-theory regime

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Semi-classical expansion



Expansion in M-theory regime

In this expansion,

D2-instanton: $\mathcal{O}(e^{-\pi\sqrt{2kN}})$ appears **perturbatively**

but not for worldsheet instanton: $\mathcal{O}(e^{-2\pi\sqrt{2N/k}})$

Simple derivation of $N^{3/2}$ law

[Marino-Putrov]

$$Z_{\text{ABJ}}^{(N, N+M)}(k) = \int d\mu e^{J_k^{(M)}(\mu) - N\mu}$$

[cf. M.H.-Okuyama, Drukker-Marino-Putrov,
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$N \rightarrow \infty$

$$\log \hat{Z}^{(N, N+M)}(k) \simeq J_k^{(M)}(\mu_*) - \mu_* N, \quad \text{with } \left. \frac{\partial J_k^{(M)}(\mu)}{\partial \mu} \right|_{\mu=\mu_*} = N.$$

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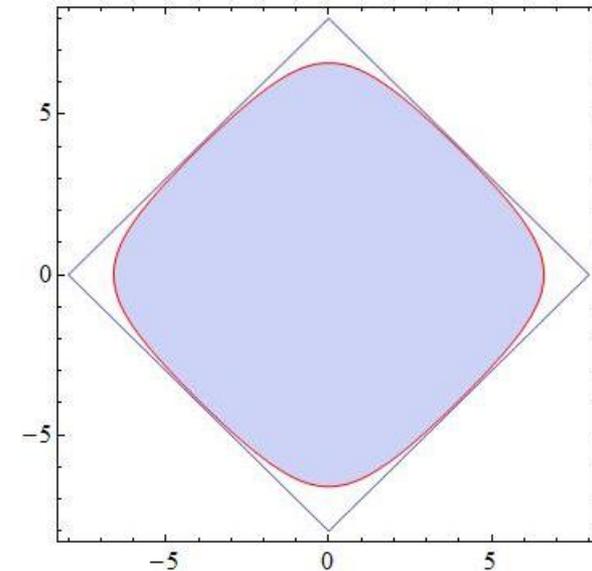


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Classical Hamiltonian:

$$H_{\text{cl}}(q, p) = \log \left(2 \cosh \frac{q}{2} \right) + \log \left(2 \cosh \frac{p}{2} \right) \sim \frac{|q| + |p|}{2}$$



$H(q,p)=E=4$

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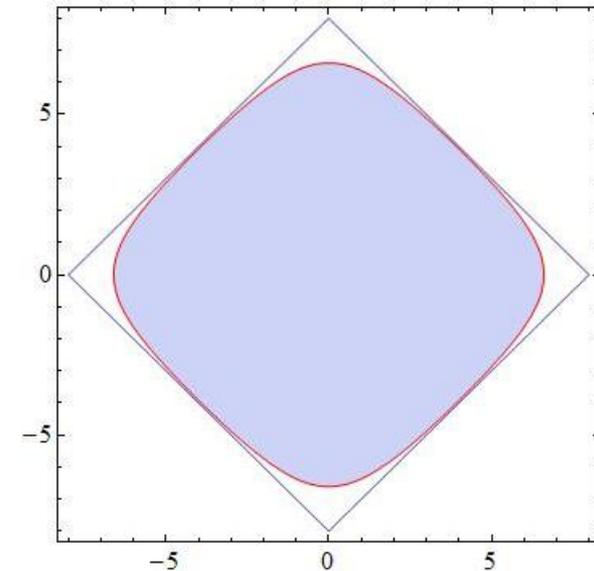
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Classical grand potential:

$$J_k^{(M)}(\mu) \sim \int dE \frac{\text{Vol}(H_{\text{cl}} \leq E)}{1 + ze^{-E}} \sim \frac{2}{3\pi^2 k} \mu^3, \quad \mu_* = \pi \sqrt{\frac{kN}{2}}$$



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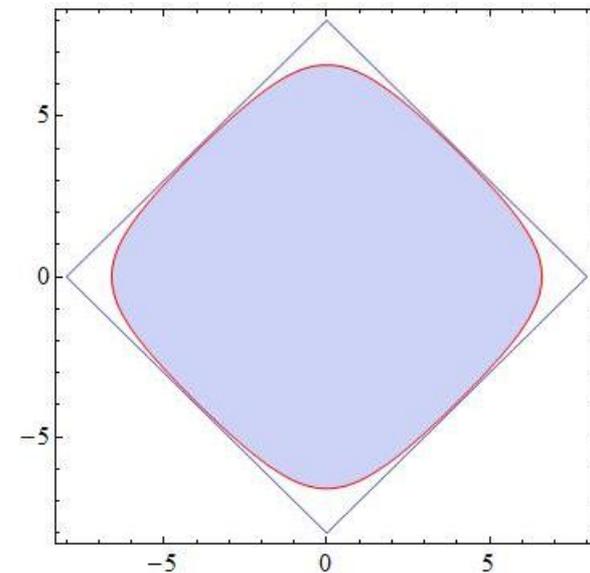
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$$\log Z_{\text{ABJ}}^{(N, N+M)}(k) \sim -\frac{\pi \sqrt{2k}}{3} N^{3/2}$$



$H(q,p)=E=4$

Perturbative part

[Marino-Putrov]

[cf. Analysis by genus expansion: Fuji-Hirano-Moriyama,
Monte-Carlo: Hanada-M.H.-Honma-Nishimura-Shiba-Yoshida]

Semi-classical analysis shows

(C,B,A: independent of μ)

$$J(\mu) = \underbrace{\frac{C}{3}\mu^3 + B\mu}_{\text{need information only on leading and sub-leading}} + \underbrace{A + (\text{instantons})}_{\text{need full order information}}$$

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[cf. Analysis by genus expansion:Fuji-Hirano-Moriyama,
Monte-Carlo: Hanada-M.H.-Honma-Nishimura-Shiba-Yoshida]

Semi-classical analysis shows

(C,B,A: independent of μ)

$$J(\mu) = \underbrace{\frac{C}{3}\mu^3 + B\mu}_{\text{need information only on leading and sub-leading}} + \underbrace{A + (\text{instantons})}_{\text{need full order information}}$$



$$Z_{\text{pert}}(N) = \int_{-i\infty}^{i\infty} d\mu e^{\frac{C}{3}\mu^3 + (B-N)\mu + A} = C^{-1/3} e^A \text{Ai} \left[C^{-1/3}(N - B) \right]$$

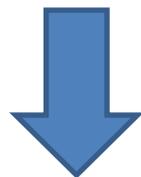
This is true also for general $\mathcal{N} \geq 3$ necklace quiver.

One-loop test of AdS/CFT

$$\hat{Z}_{\text{pert}}^{(N, N+M)}(k) = C^{-1/3} e^A \text{Ai}[C^{-1/3}(N - B)].$$

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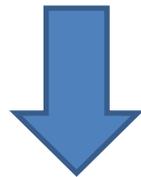


$N \gg 1$

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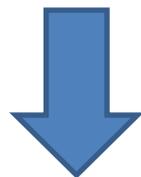


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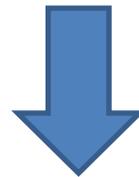
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classical SUGRA

universal term coming from Airy

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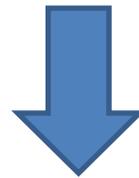
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The logarithmic term appears in 11d SUGRA on $\text{AdS}_4 \times X_7$ at 1-loop.

[Bhattacharyya–Grassi-Marino-Sen '12]

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[Bhattacharyya–Grassi-Marino-Sen '12]

Airy function behavior also appears from localization of the SUGRA.

[Dabholkar-Drukker-Gomes]

Exact computations

[Hatsuda-Moriyama-Okuyama,
Putrov-Yamazaki,M.H.-Okuyama]

We can also obtain exact values for various (k,M,N)
by applying integrability-like technique to the ideal Fermi gas

Ex.) For $(k,M)=(2,1)$ up to $N=65$ and for $(k,M)=(4,1)$ up to $N=64$, etc...

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Ex.) For $(k,M)=(2,1)$ up to $N=65$ and for $(k,M)=(4,1)$ up to $N=64$, etc...

Exact values for $(k,M)=(2,1)$

$$\hat{Z}^{(1,2)}(2) = \frac{1}{4\pi}, \quad \hat{Z}^{(2,3)}(2) = \frac{1}{128} - \frac{1}{16\pi^2}, \quad \hat{Z}^{(3,4)}(2) = \frac{5\pi^2 - 48}{4608\pi^3},$$

$$\hat{Z}^{(4,5)}(2) = \frac{9}{32768} + \frac{5}{3072\pi^4} - \frac{53}{18432\pi^2}, \quad \hat{Z}^{(5,6)}(2) = \frac{6240 - 800\pi^2 + 17\pi^4}{29491200\pi^5},$$

$$\hat{Z}^{(6,7)}(2) = \frac{-218880 + 1413600\pi^2 - 1160264\pi^4 + 103275\pi^6}{8493465600\pi^6},$$

$$\hat{Z}^{(7,8)}(2) = \frac{-4677120 - 8631840\pi^2 + 14206864\pi^4 - 1345977\pi^6}{1664719257600\pi^7},$$

$$\hat{Z}^{(8,9)}(2) = \frac{61608960 - 1051438080\pi^2 + 2363612608\pi^4 - 1477376224\pi^6 + 126511875\pi^8}{213084064972800\pi^8},$$

$$\hat{Z}^{(9,10)}(2) = \frac{633830400 + 6140897280\pi^2 - 22473501120\pi^4 + 16465544384\pi^6 - 1444050207\pi^8}{23013079017062400\pi^9},$$

Exact values for (k,M)=(2,1)

[M.H.-Okuyama]

$$\begin{aligned} \hat{z}^{(14,15)}(2) &= \frac{1}{5440330889784980321379287040000\pi^{14}} \left[-555727897460736000 + 89573494835323699200\pi^2 - 1055636150467356057600\pi^4 + 5131488836828022789120\pi^6 \right. \\ &\quad \left. - 12078328057432325328640\pi^8 + 13537831707363614586208\pi^{10} - 6051892803562043641080\pi^{12} + 486239579473363340625\pi^{14} \right], \\ \hat{z}^{(15,16)}(2) &= -\frac{1}{4896297800806482289241358336000000\pi^{15}} \left[36090194527715328000 + 6104583949671567360000\pi^2 - 92067509353118319820800\pi^4 \right. \\ &\quad \left. + 507831737592928484736000\pi^6 - 1344043476982266371351040\pi^8 + 1708199914796799315018400\pi^{10} - 841038818134977117865584\pi^{12} + 69024176701151867566875\pi^{14} \right], \\ \hat{z}^{(16,17)}(2) &= \frac{1}{1253452237006459466045787734016000000\pi^{16}} \left[644515885825523712000 - 195903374317541130240000\pi^2 + 3317788425511538166988800\pi^4 - 24242575894767562235904000\pi^6 \right. \\ &\quad \left. + 91686579377609424295127040\pi^8 - 184621497276384941161625600\pi^{10} + 187135567910967396538249344\pi^{12} - 78705401521585216044052800\pi^{14} + 6236022606745884843515625\pi^{16} \right], \\ \hat{z}^{(17,18)}(2) &= \frac{1}{1448990785979467142748930620522496000000\pi^{17}} \left[50222901705188179968000 + 17114872531857226334208000\pi^2 - 355825180591455246748876800\pi^4 \right. \\ &\quad \left. + 2838673897897708635616051200\pi^6 - 11715836542518334641324349440\pi^8 + 26594007390595358524134338560\pi^{10} \right. \\ &\quad \left. - 31088486157208526910587238784\pi^{12} + 14680941405810341458359816576\pi^{14} - 1194793767361309903416444375\pi^{16} \right], \\ \hat{z}^{(18,19)}(2) &= \frac{1}{1251928039086259611335076056131436544000000\pi^{18}} \left[-2837855912505174392832000 + 1565466573304371781435392000\pi^2 - 36201047925887447842868428800\pi^4 \right. \\ &\quad \left. + 374743421357886210747698380800\pi^6 - 2099946681695866974987064688640\pi^8 + 6688454172020401470415744112640\pi^{10} - 11982818897222541532284369726464\pi^{12} \right. \\ &\quad \left. + 11220643955054903542467568447104\pi^{14} - 4489098718626188671320477135000\pi^{16} + 351431054003164340356323046875\pi^{18} \right], \\ \hat{z}^{(19,20)}(2) &= -\frac{1}{1807784088440558878767849825053794369536000000\pi^{19}} \left[260034050935690604052480000 + 167378576740920004904091648000\pi^2 - 4603213941146778919710228480000\pi^4 \right. \\ &\quad \left. + 49864936569429230001889571635200\pi^6 - 292626274613554624545116349235200\pi^8 + 1022337025900122231369611246684160\pi^{10} - 2112649945836780855818878981703680\pi^{12} \right. \\ &\quad \left. + 2341691134873926453650025102600576\pi^{14} - 1075830030189292612090801154991984\pi^{16} + 87057436298005995587368943405625\pi^{18} \right], \\ \hat{z}^{(20,21)}(2) &= \frac{1}{2892454541504894206028559720086070991257600000000\pi^{20}} \left[25690000707001171197296640000 - 24885517234201682474749132800000\pi^2 + 755668170954645465216072941568000\pi^4 \right. \\ &\quad \left. - 10621602174332426380613505515520000\pi^6 + 83475984203142035463930152647065600\pi^8 - 388930780899716024500536537133056000\pi^{10} + 1087554872133572209767569467463813120\pi^{12} \right. \\ &\quad \left. - 1775932910388692220449035532375705600\pi^{14} + 1558438899830276774076529628858407584\pi^{16} - 597787290215170549303861405923030000\pi^{18} + 46306312830726949307050906271484375\pi^{20} \right]. \end{aligned}$$

Exact values for (k,M)=(3,1)

[M.H.-Okuyama]

$$\begin{aligned} \hat{z}^{(1,2)}(3) &= \frac{1}{12}(2\sqrt{3}-3), & \hat{z}^{(2,3)}(3) &= \frac{1}{432}\left(-27+14\sqrt{3}+\frac{9}{\pi}\right), & \hat{z}^{(3,4)}(3) &= -\frac{45+18\sqrt{3}-14\sqrt{3}\pi}{1728\pi}, & \hat{z}^{(4,5)}(3) &= \frac{702+84(27+2\sqrt{3})\pi+(1152\sqrt{3}-2881)\pi^2}{248832\pi^2}, \\ \hat{z}^{(5,6)}(3) &= \frac{54(14\sqrt{3}-37)+840\sqrt{3}\pi+(5797-3574\sqrt{3})\pi^2}{995328\pi^2}, & \hat{z}^{(6,7)}(3) &= \frac{17982+162(182\sqrt{3}-1647)\pi+27(2304\sqrt{3}-5905)\pi^2-7(42110\sqrt{3}-78327)\pi^3}{322486272\pi^3}, \\ \hat{z}^{(7,8)}(3) &= \frac{-2430(61+18\sqrt{3})+83916\sqrt{3}\pi+27(28553+16770\sqrt{3})\pi^2+(78732-335594\sqrt{3})\pi^3}{1289945088\pi^3}, \\ \hat{z}^{(8,9)}(3) &= \frac{1472580+9072(2295+74\sqrt{3})\pi+324(91008\sqrt{3}-105709)\pi^2-168(793233+60254\sqrt{3})\pi^3+(178071703-76499136\sqrt{3})\pi^4}{371504185344\pi^4}, \\ \hat{z}^{(9,10)}(3) &= \frac{2916(774\sqrt{3}-2857)+5533920\sqrt{3}\pi-324(152814\sqrt{3}-521209)\pi^2-48(1483097\sqrt{3}-511758)\pi^3+(226863738\sqrt{3}-370195279)\pi^4}{1486016741376\pi^4}, \\ \hat{z}^{(10,11)}(3) &= \frac{299312820+72900(7070\sqrt{3}-171747)\pi+24300(382464\sqrt{3}-411157)\pi^2-2700(6698762\sqrt{3}-62488989)\pi^3-9(5065099200\sqrt{3}-9212744479)\pi^4+25(6475592722\sqrt{3}-11826421389)\pi^5}{4012245201715200\pi^5}, \\ \hat{z}^{(11,12)}(3) &= \frac{-131220(28925+6642\sqrt{3})+2915854200\sqrt{3}\pi+24300(2404421+1236762\sqrt{3})\pi^2-5400(8730505\sqrt{3}-7125246)\pi^3-9(40242657395+27610808958\sqrt{3})\pi^4+50(3671699105\sqrt{3}-1298211948)\pi^5}{16048980806860800\pi^5}, \\ \hat{z}^{(12,13)}(3) &= \frac{1}{6933159708563865600\pi^6} \left[25651672920+3674160(281907+4562\sqrt{3})\pi+218700(11516544\sqrt{3}-6754681)\pi^2-226800(106545861+3385430\sqrt{3})\pi^3-162(232246756800\sqrt{3}-175868541043)\pi^4 \right. \\ & \left. +36(3302763448131+214002197506\sqrt{3})\pi^5+25(2873091390912\sqrt{3}-6479908382207)\pi^6 \right], \\ \hat{z}^{(13,14)}(3) &= \frac{1}{27732638834255462400\pi^6} \left[787320(66366\sqrt{3}-316045)+212550156000\sqrt{3}\pi-218700(12640806\sqrt{3}-69160621)\pi^2-64800(147495509\sqrt{3}-104910390)\pi^3 \right. \\ & \left. +162(296550133938\sqrt{3}-1076243018035)\pi^4+360(205884495833\sqrt{3}-99859338540)\pi^5-175(1189802574054\sqrt{3}-1946635606421)\pi^6 \right], \\ \hat{z}^{(14,15)}(3) &= \frac{1}{146761124710879907020800\pi^7} \left[9762153103080+38578680(456134\sqrt{3}-24118263)\pi+19289340(70712064\sqrt{3}-37224121)\pi^2-3572100(371449442\sqrt{3}-9412651737)\pi^3 \right. \\ & \left. -23814(1164740241600\sqrt{3}-760466097211)\pi^4+7938(4920941754202\sqrt{3}-40985286126129)\pi^5+9(10577414304217152\sqrt{3}-16694829965655623)\pi^6-1225(241451318806186\sqrt{3}-436239059157621)\pi^7 \right], \\ \hat{z}^{(15,16)}(3) &= \frac{1}{587044498843519628083200\pi^7} \left[-49601160(3662825+677322\sqrt{3})+170696384888400\sqrt{3}\pi+19289340(210402593+126703602\sqrt{3})\pi^2-7144200(729601789\sqrt{3}-1620343926)\pi^3 \right. \\ & \left. -23814(2803076569895+2926367522214\sqrt{3})\pi^4+79380(992950495129\sqrt{3}-1601735224140)\pi^5+9(65702735219040679+49510164883503726\sqrt{3})\pi^6-2450(134862880599065\sqrt{3}-58222941612138)\pi^7 \right], \end{aligned}$$

Exact values for (k,M)=(4,1)

[M.H.-Okuyama]

$$\begin{aligned} \dot{z}^{(1,2)}(4) &= \frac{\pi-2}{16\pi}, & \dot{z}^{(2,3)}(4) &= \frac{12+12\pi-5\pi^2}{512\pi^2}, & \dot{z}^{(3,4)}(4) &= \frac{-168+396\pi+202\pi^2-99\pi^3}{73728\pi^3}, & \dot{z}^{(4,5)}(4) &= \frac{1200+4320\pi-3512\pi^2-4872\pi^3+1755\pi^4}{4718592\pi^4}, \\ \dot{z}^{(5,6)}(4) &= \frac{-38880+241200\pi+186000\pi^2-400200\pi^3-203494\pi^4+96975\pi^5}{1887436800\pi^5}, & \dot{z}^{(6,7)}(4) &= \frac{953280+8320320\pi-7378800\pi^2-36784800\pi^3+17373764\pi^4+27667476\pi^5-9333225\pi^6}{543581798400\pi^6}, \\ \dot{z}^{(7,8)}(4) &= \frac{-52536960+691346880\pi+566479200\pi^2-2914304400\pi^3-2014346488\pi^4+3962357364\pi^5+2156964930\pi^6-995722875\pi^7}{426168129945600\pi^7}, \\ \dot{z}^{(8,9)}(4) &= \frac{478759680+8468167680\pi-7157041920\pi^2-89293397760\pi^3+38961966624\pi^4+232256453184\pi^5-82822457776\pi^6-145218219408\pi^7+47021834475\pi^8}{54549520633036800\pi^8}, \\ \dot{z}^{(9,10)}(4) &= \frac{1}{23565392913471897600\pi^9} \left[-12959654400+320811321600\pi+249167439360\pi^2-2406136078080\pi^3-1813794333120\pi^4+7622732486880\pi^5 \right. \\ &\quad \left. +5866548067808\pi^6-10329554789424\pi^7-6075970569810\pi^8+2721498152625\pi^9 \right], \\ \dot{z}^{(10,11)}(4) &= \frac{1}{18852314330777518080000\pi^{10}} \left[646656998400+20855511936000\pi-15908447520000\pi^2-423480742272000\pi^3+155455887162240\pi^4+2407085602588800\pi^5 \right. \\ &\quad \left. -690712514324000\pi^6-4858102787889600\pi^7+1434686348402316\pi^8+2720310664056300\pi^9-855380089265625\pi^{10} \right], \\ \dot{z}^{(11,12)}(4) &= \frac{1}{36498080544385275002880000\pi^{11}} \left[-71248933324800+3066836963097600\pi+2147272497216000\pi^2-32994976801248000\pi^3-26307684678401280\pi^4+169824342336485760\pi^5 \right. \\ &\quad \left. +173665340769940800\pi^6-543644538181826400\pi^7-506552450721933352\pi^8+791312771801094444\pi^9+502106969790796050\pi^{10}-218816278991454375\pi^{11} \right], \\ \dot{z}^{(12,13)}(4) &= \frac{1}{21022894393565918401658880000\pi^{12}} \left[2305385523302400+126291787634073600\pi-84666760738560000\pi^2-4394402461709568000\pi^3+1299328657279107840\pi^4 \right. \\ &\quad \left. +44622842590319938560\pi^5-9109124891322297600\pi^6-187333512163572614400\pi^7+38674141099980946736\pi^8+324147996295923358944\pi^9-82963880513737784280\pi^{10} \right. \\ &\quad \left. -168381450188362233000\pi^{11}+51759053721397378125\pi^{12} \right], \\ \dot{z}^{(13,14)}(4) &= \frac{1}{56845906440202243358085611520000\pi^{13}} \left[-326696029973913600+23110746777403084800\pi+14222217559476326400\pi^2-296594132415214233600\pi^3-262735740032464258560\pi^4 \right. \\ &\quad \left. +1724522555482742695680\pi^5+3140617642113715146240\pi^6-9346414694706594236160\pi^7-17675870289759454430944\pi^8+38402692345719161274672\pi^9+45493756685677679170896\pi^{10} \right. \\ &\quad \left. -63043272699716161765224\pi^{11}-42976871049629192344650\pi^{12}+18272369792404283180625\pi^{13} \right], \end{aligned}$$

Comparison with classical SUGRA

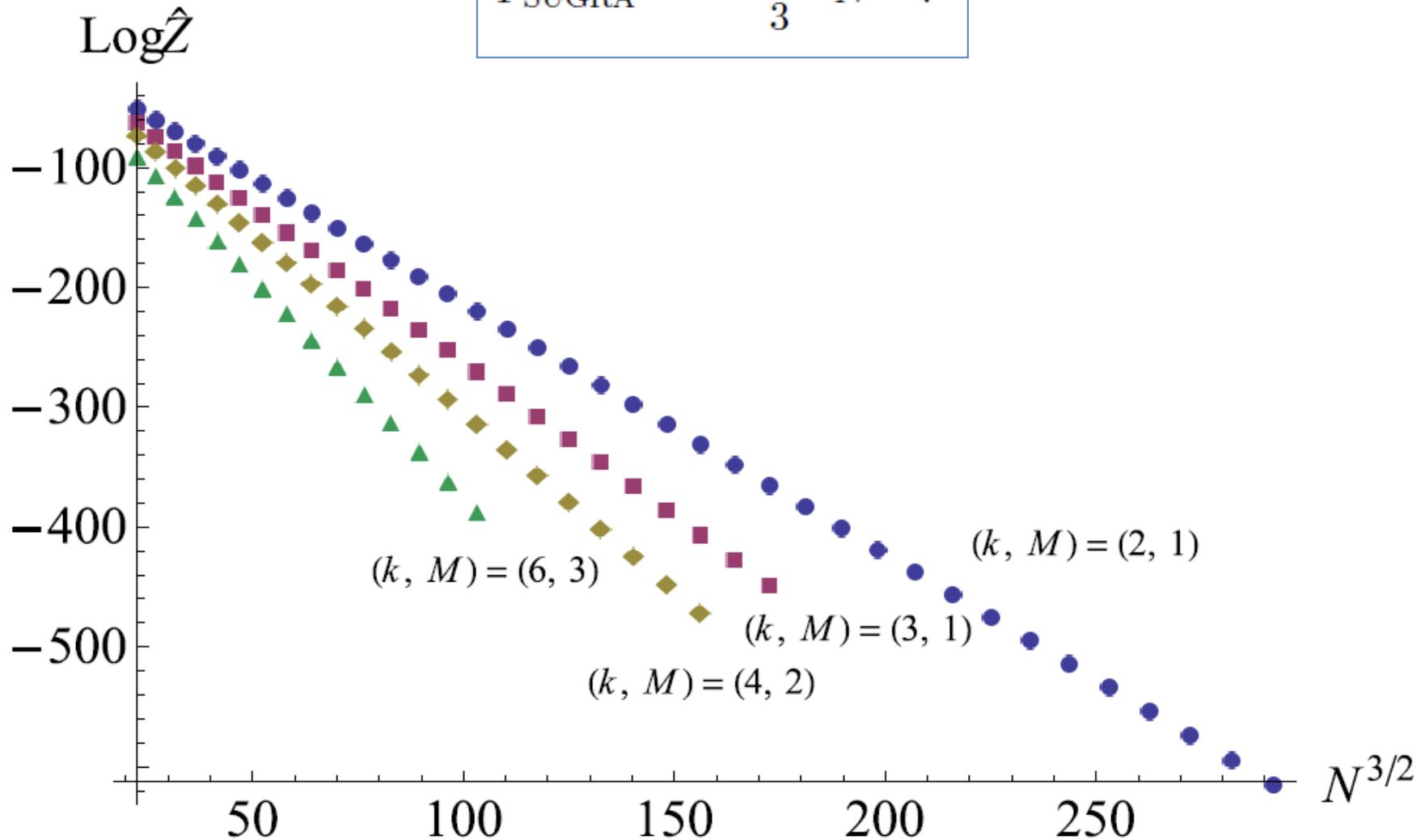
[cf. Klebanov-Tseytlin]

$$F_{\text{SUGRA}} = -\frac{\pi\sqrt{2k}}{3}N^{3/2}.$$

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To determine structures of non-perturbative effects completely,

we will **“guess”** the form of the grand potential and test this **“guess”** by using the above information.

Basic idea

[cf. Marino-Putrov]

ABJ(M) matrix model

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ABJ(M) matrix model



Analytic continuation

Pure CS theory on S^3/Z_2
(Lens space matrix model)

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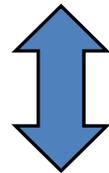
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Pure CS theory on S^3/Z_2
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Geometric transition

[cf. Gopakumar-Vafa '98]

Topological string on certain space (local $P^1 \times P^1$)

Perturbative + **Worldsheet instanton** part

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| |

Perturbative in the sense of genus expansion

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| |

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This part is described by the **standard** topological string.

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This part is described by the **standard** topological string.

$$Z_{\text{WS},m\text{-inst}} = d_m(k, M) \text{Ai} \left[C^{-1/3} \left(N - B + \frac{4m}{k} \right) \right]$$

$$\frac{Z_{\text{WS},m\text{-inst}}}{Z_{\text{pert}}} \sim e^{-2\pi m \sqrt{\frac{2N}{k}}}$$

Test of WS 1-instanton

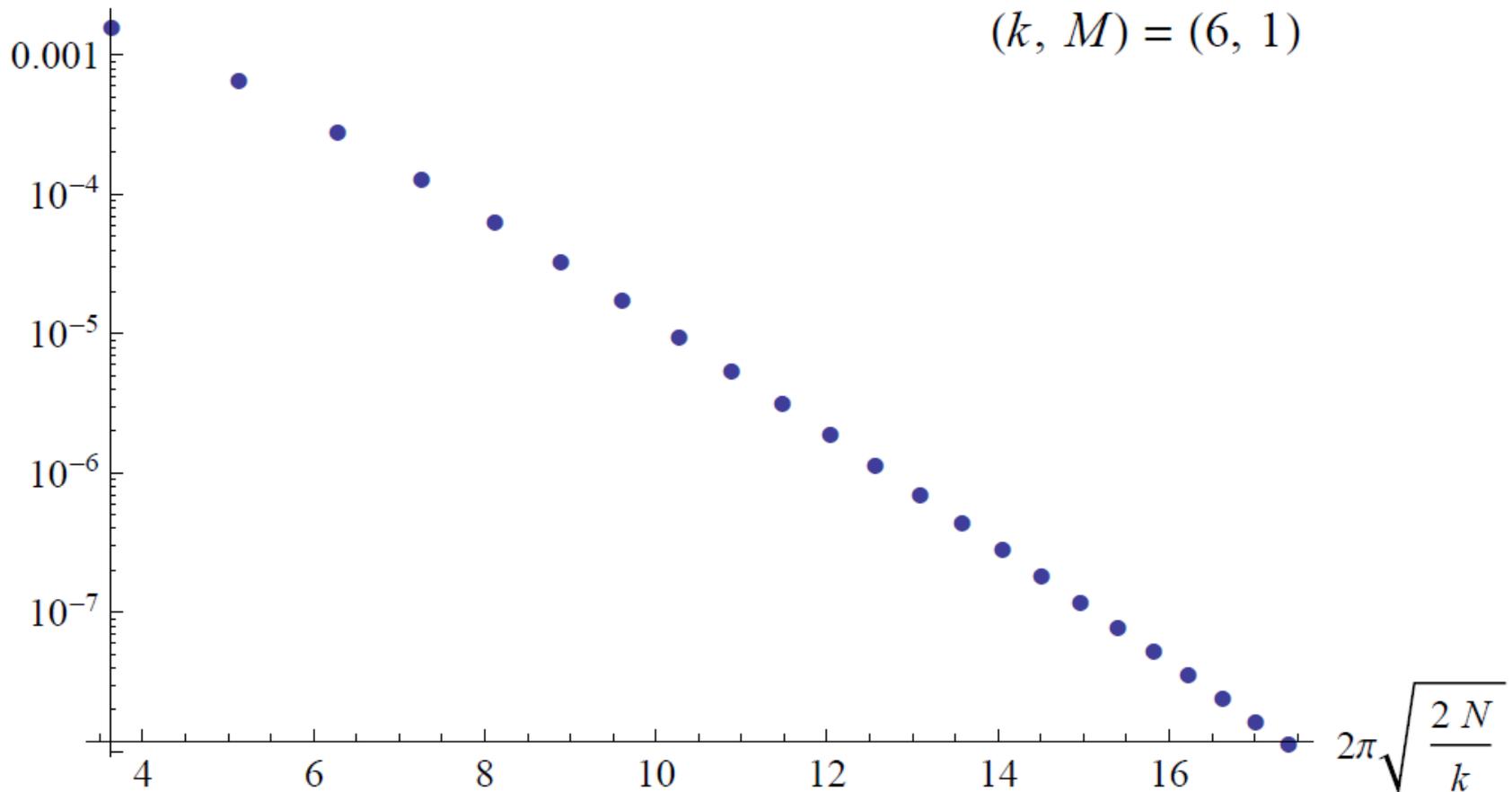
$$Z_{\text{WS},1\text{-inst}}^{(N,N+M)}(k) = -2C^{-1/3} e^{A \frac{\cos \pi \left(1 - \frac{2M}{k}\right)}{\sin^2 \frac{2\pi}{k}}} \text{Ai} \left[C^{-1/3} \left(B - N - \frac{4}{k} \right) \right]$$

Test of WS 1-instanton

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$$\frac{e^{2\pi\sqrt{\frac{2N}{k}}}}{Z_{\text{pert}}^{(N,N+M)}(k)} \left(Z_{\text{ABJ}}^{(N,N+M)}(k) - Z_{\text{pert}}^{(N,N+M)}(k) - Z_{\text{WS},1\text{-inst}}^{(N,N+M)}(k) \right)$$

$(k, M) = (6, 1)$



Problem on worldsheet instanton effect

[Hatsuda-Moriyama-Okuyama, Matsumoto-Moriyama, M.H.-Okuyama]

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For instance,

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This divergence must be **apparent** and must **cancel out if we include other sector: D2-instanton**

D2-instanton + Mixture of D2- & WS-instanton part

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Non-perturbative in the sense of genus expansion

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This part is described by **non-perturbative formulation of topological string: refined** topological string in certain limit (Nekrasov-Shashvili limit)

[Nekrasov-Shatashvili]

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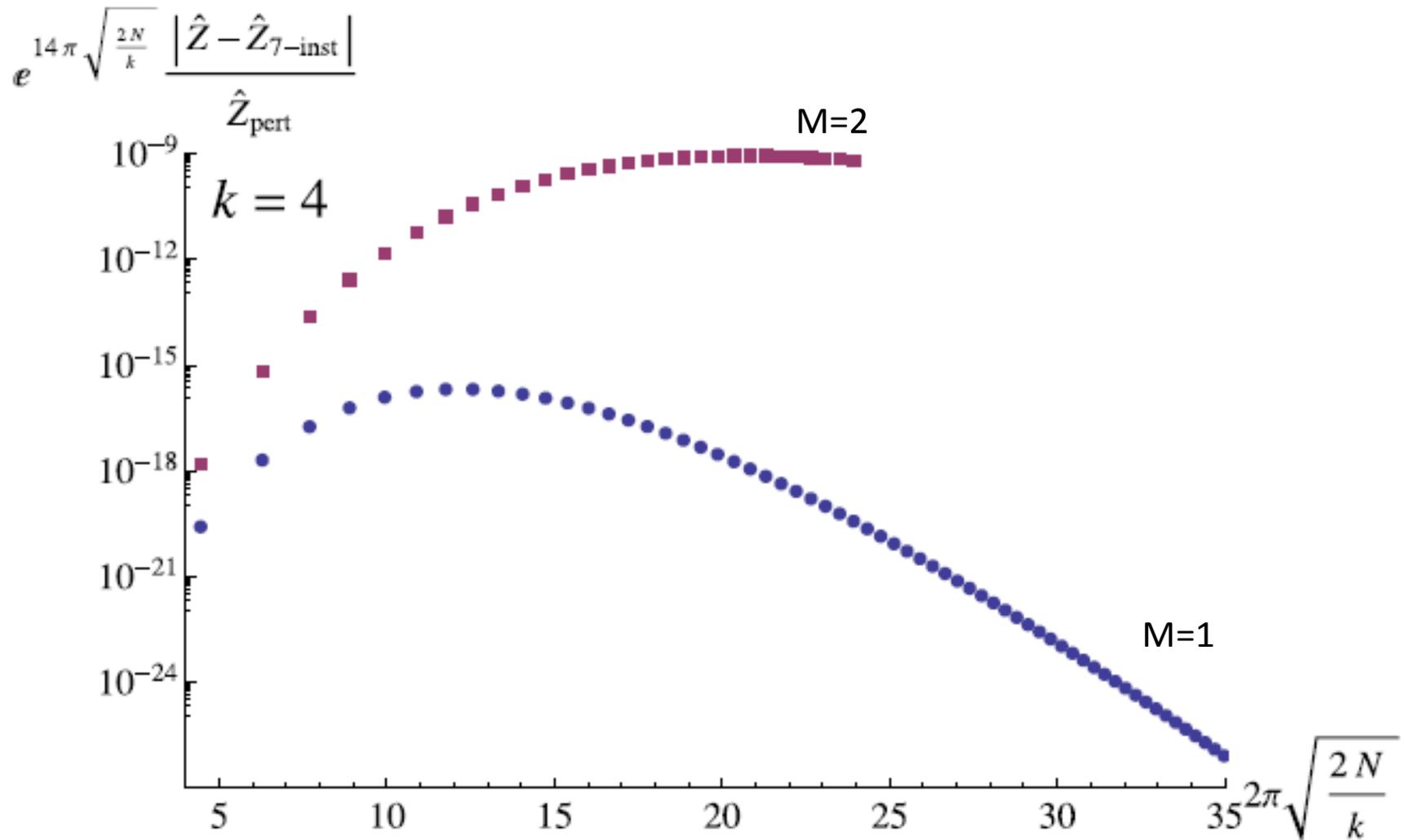
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[Nekrasov-Shatashvili]

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$$\frac{Z_{\text{D2},\ell\text{-inst};\text{WS},m\text{-inst}}}{Z_{\text{pert}}} \sim e^{-\pi\ell\sqrt{2kN} - 2\pi m\sqrt{\frac{2N}{k}}}$$

Test of our proposal



Drastic simplification for $\mathcal{N} = 8$ SUSY cases

[Codesido-Grassi-Marino]

Generally,

the ABJ(M) grand potential **receives** contributions from **all-genus** of topological string free energy.

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$$\Xi(\mu)|_{(k,M)=(1,0)} = \left(\vartheta_2(\bar{\xi}/4, \bar{\tau}/4) + i\vartheta_1(\bar{\xi}/4, \bar{\tau}/4) \right) \quad (\bar{\xi}, \bar{\tau} : \text{determined by } F_0)$$
$$\times \exp \left[\frac{3\mu}{8} - \frac{3}{4} \log 2 + F_1 + F_1^{\text{NS}} - \frac{1}{4\pi^2} \left(F_0 - \lambda \partial_\lambda F_0 + \frac{\lambda^2}{2} \partial_\lambda^2 F_0 \right) \right]$$

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Resumming the 1/N-expansion in ABJM

[Grassi-Marino-Zakany]

[cf. Drukker-Marino-Putrov]

$$F_{\text{ABJM}}|_{\text{genus-}g} \sim (2g)! \quad \text{asymptotic}$$

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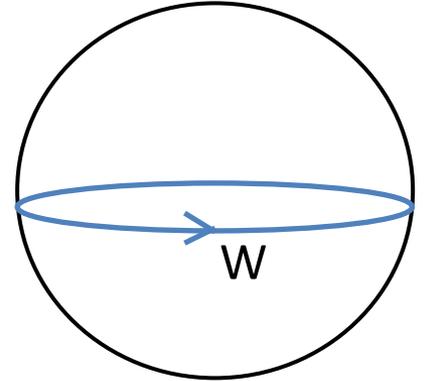
—— **No**, Grassi-Marino-Zakany have found relevant differences.

We should resum **each string perturbation series**
around each D2-instanton background (to get full result).

Some generalizations

Half-BPS Wilson loop in ABJM

[Hatsuda-M.H.-Moriyama-Okuyama, Grassi-Kallen-Marino]

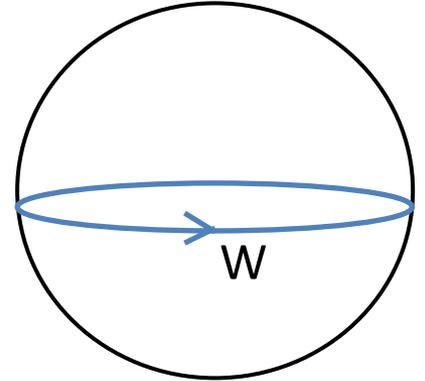


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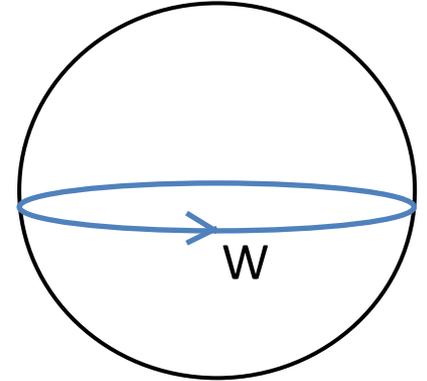


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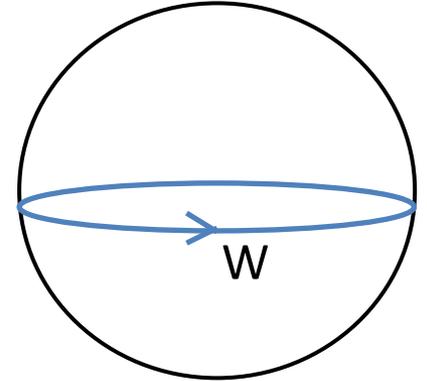
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The Wilson loop is described by the **open** topological string.

$$Z_{\text{ABJM}} \langle W_{\mathbf{R}} \rangle_{\text{D2}, \ell\text{-inst}; \text{WS}, m\text{-inst}} = d_{\ell, m}(k) \text{Ai} \left[C^{-\frac{1}{3}} \left(N - B + \frac{2|\mathbf{R}|}{k} + 2\ell + \frac{4m}{k} \right) \right]$$

$$\langle W_{\mathbf{R}} \rangle_{\text{D2}, \ell\text{-inst}; \text{WS}, m\text{-inst}} \sim e^{\pi|\mathbf{R}|\sqrt{\frac{2N}{k}} - \pi\ell\sqrt{2kN} - 2\pi m\sqrt{\frac{2N}{k}}}$$

Less SUSY theories

[M.H.-Moriyama, Grassi-Marino,
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Cancelation has been found also in some $\mathcal{N} = 4$ M2-brane theories (=special cases of Gaiotto-Witten theory).

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Technical difficulties for less SUSY theories:

1. Corresponding **topological string is unknown**.
2. Except some special cases, density matrix of Fermi gas becomes complicated (given by integral)
3. For $\mathcal{N} = 2$, Fermi gas becomes **interacting**.

Summary & Outlook

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ABJ(M) partition function on sphere:

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Some generalizations:

- Half-BPS Wilson loop in ABJM is described by open topological string.
- Pole cancelation occurs also in some less SUSY theories.

Outlook

- ABJ theory in higher spin limit [Hirano-M.H.-Okuyama-Shigemori, to appear]

- More general M2-brane theory [Hatsuda-M.H.-Okuyama, work in progress]

- Other quantities

Ex.) Vortex loop, Energy-momentum tensor correlator, super-Renyi entropy

- Relation to Higgs branch localization formula

[cf. Pasquetti, Fujitsuka-M.H.-Yoshida, Benini-Peelaers]

—— Localization formula has another equivalent representation in terms of vortex partition functions for many 3d theories.

- Analysis on the gravity side

—— Test many predictions.

Probably, localization on the gravity side and string perturbation around instanton background would be useful.

Thanks!